



110182017002963



SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines
Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Information

SEC Registration No. AS94008811
Company Name PHINMA PETROLEUM AND GEOTHERMAL, INC.
Industry Classification Oil & Gas Extraction Activities On Contract Basis
Company Type Stock Corporation

Document Information

Document ID 110182017002963
Document Type LIST OF STOCKHOLDERS (PVT CO)
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S.E.C. Registration Number

P H I N M A P E T R O L E U M A N D
 G E O T H E R M A L , I N C .

(Company's Full Name)

L E V E L 1 1 - P H I N M A P L A Z A 3 9 P L A Z A
 D R I V E , R O C K W E L L C E N T E R M A K A T I

(Business Address: No. Street City/Town/Province)

C E C I L L E A R E N I L L O

Contact Person

8 7 0 0 3 8 8

Company Telephone Number

1 2 3 1
 Month Day
 Calendar Year

T O P 1 0 0
 S H A R E H O L D E R S
 FORM TYPE

____ ____
 Month Day
 Annual Meeting

 Secondary License Type, If Applicable

C F D
 Dept. Requiring this Doc.

 Amended Articles Number/Section

Total Amount of Borrowings

 Total No. of Stockholders

 Domestic

 Foreign

To be accomplished by SEC Personnel concerned

 File Number

 LCU

 Document I. D.

 Cashier

STAMPS

Remarks = Pls. Use black ink for scanning purposes



PHINMA
PETROLEUM &
GEOTHERMAL

October 9, 2017

SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex
Roxas Boulevard, Pasay City 1307

Attention: **HON. JUSTINA F. CALLANGAN**
Director, Corporate Governance & Finance Department

THE PHILIPPINE STOCK EXCHANGE, INC.

3rd Floor, Philippine Stock Exchange Plaza
Ayala Triangle, Ayala Avenue,
Makati City

Attention: **MR. JOSE VALERIANO B. ZUÑO III**
Head, Disclosure Department

Gentlemen:

Attached herewith is PHINMA Petroleum and Geothermal, Inc.'s Top 100 Shareholders with breakdown of PCD nominee as of September 30, 2017.

Thank you.

Very truly yours,

CECILLE B. ARENILLO
Compliance Officer

PHINMA Petroleum and Geothermal, Inc.
A Subsidiary of PHINMA Energy

L11 PHINMA Plaza, 39 Plaza Drive, Rockwell Center, Makati City, Philippines 1200
Tel. (632) 870-0100 Fax. No. (632) 870-0433

Stock Transfer Service Inc.
 PHINMA PETROLEUM AND GEOTHERMAL, INC.
 List of Top 100 Stockholders
 As of 09/30/2017

Rank	Sth. No.	Name	Citizenship	Holdings	Rank
1	0000001625	PCD NOMINEE CORPORATION (FILIPINO)	Filipino	245,617,453	98.25%
2	0000001462	EMAR CORPORATION	Filipino	950,740	00.38%
3	0000001626	PCD NOMINEE CORPORATION (NON-FILIPINO)	Foreign	738,092	00.30%
4	0000002581	FRANCISCO GENARO OZAMIZ LON	Filipino	423,300	00.17%
5	0000000818	ALBERT MENDOZA &/OR JEANNIE MENDOZA	Filipino	76,193	00.03%
6	0000001629	PHIL. REMNANTS CO. INC.	Filipino	71,431	00.03%
7	0000002019	PETER MAR OR ANNABELLE C. MAR	Filipino	52,020	00.02%
8	0000001450	RAMON R. DEL ROSARIO JR.	Filipino	45,467	00.02%
9	0000001453	VICTOR JUAN DEL ROSARIO	Filipino	41,453	00.02%
10	0000001129	RENATO O. LABASAN	Filipino	38,760	00.02%
11	0000002160	TERESITA A. DELA CRUZ	Filipino	38,306	00.02%
12	0000001384	BELEK INC.	Filipino	37,842	00.02%
13	0000001674	RIZALINO G SANTOS	Filipino	36,643	00.01%
14	0000002860	JOSEPH D. ONG	Filipino	35,640	00.01%
15	0000001111	WILLIAM HOW &/OR BENITO HOW	Chinese	34,003	00.01%
16	0000002492	ALEXANDER J. TANCHAN &/OR DOLORES U. TANCHAN	Filipino	27,358	00.01%
17	0000001372	BENJAMIN S. AUSTRIA	Filipino	26,086	00.01%
18	0000001452	VICTOR J. DEL ROSARIO OR MA. RITA S. DEL ROSARIO	Filipino	24,342	00.01%
19	0000002172	DR. ANITA TY	Filipino	23,816	00.01%
20	0000000822	ALBERTO MENDOZA &/OR MARITNESS MENDOZA	Filipino	21,886	00.01%
21	0000001570	LIM TAY	Chinese	20,815	00.01%
22	0000002458	KOC GUAN TIU DY	Filipino	19,728	00.01%
23	0000001472	NOEL L. ESCALER	Filipino	17,478	00.01%
24	0000001488	VIRGILIO R. FRANCISCO JR.	Filipino	17,327	00.01%
25	0000001533	JAMES UY INC. A/C# 11079	Filipino	17,007	00.01%
26	0000001654	RELIANCE COMMERCIAL ENTERPRISES INC.	Filipino	17,007	00.01%

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 As of 09/30/2017

Rank	Sth. No.	Name	Citizenship	Holdings	Rank
27	0000002356	CESAR C. ZALAMEA	Filipino	15,390	00.01%
28	0000001638	MARIANE M. PRATTE	Filipino	15,063	00.01%
29	0000001588	MARIE CLAIRE H. MAGSAYSAY	Filipino	15,063	00.01%
30	0000001490	IRENE M. GALVEZ	Filipino	15,063	00.01%
31	0000002154	RICARDO V. CAMUA	Filipino	14,371	00.01%
32	0000002173	EMILY R. VARUA &/OR F.V. VARUA	Filipino	14,172	00.01%
33	0000000906	ANTONIO S. TANJANGCO	Filipino	13,600	00.01%
34	0000001471	MICHAEL L. ESCALER	Filipino	13,577	00.01%
35	0000001505	GONZALO PUYAT & SONS	Filipino	12,890	00.01%
36	0000001888	PACIFICO B. TACUB	Filipino	12,653	00.01%
37	0000001585	GERARDO A. S. MADRIGAL	Filipino	12,614	00.01%
38	0000001586	VICENTE A. S. MADRIGAL	Filipino	12,614	00.01%
39	0000000464	REGINA CAP. DEV. CORP./000727	Filipino	12,044	00.00%
40	0000001846	ARTURO GUANZON	Filipino	11,523	00.00%
41	0000000811	LAIMOR LUZUNO	Filipino	11,338	00.00%
42	0000001657	EDMUNDO M. REYES	Filipino	11,318	00.00%
43	0000001970	LUIS T. CHUIDIAN	Filipino	11,008	00.00%
44	0000002632	ARIC RESOURCES INC.	Filipino	10,945	00.00%
45	0000002075	KENSINGTON MANAGEMENT CORPORATION	Filipino	10,331	00.00%
46	0000002001	MA. PAZ GODINEZ	Filipino	9,876	00.00%
47	0000001554	JFM DEVELOPMENT CORP.	Filipino	9,710	00.00%
48	0000000908	TANSENGCO & COMPANY INC.	Filipino	9,655	00.00%
49	0000002331	GRACE LEUNG	Filipino	9,623	00.00%
50	0000001660	LEONARDO SIGUION REYNA	Filipino	9,247	00.00%
51	0000000821	ALBERTO MENDOZA &/OR LAWRENCE MENDOZA	Filipino	9,119	00.00%
52	0000001354	HERMINIA ANG-ANGCO	Filipino	8,996	00.00%

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 As of 09/30/2017

Rank	Sth. No.	Name	Citizenship	Holdings	Rank
53	0000001467	FRANCIS ESCALER	Filipino	8,770	00.00%
54	0000000639	MARIANO ANG	Filipino	8,572	00.00%
55	0000000616	YAO E. CHU	Filipino	8,503	00.00%
56	0000001957	LUCIO YAN	Filipino	8,503	00.00%
57	0000001556	JUAN MIGUEL L. ESCALER MARK L. ESCALER & MARGARITA L. ESCALER	Filipino	8,355	00.00%
58	0000001900	ALONZO Q. ANCHETA	Filipino	8,163	00.00%
59	0000002503	JOHN PETER YU &/OR JUAN YU	Filipino	7,650	00.00%
60	0000000988	CARIDAD SANCHEZ BABAO	Filipino	7,553	00.00%
61	0000001011	JOHN CHAM	Filipino	7,483	00.00%
62	0000000130	CHENG BENG LIONG	Chinese	7,181	00.00%
63	0000000649	BENJAMIN CO CA & CO. INC.	Filipino	7,043	00.00%
64	0000003000	UY-TIOCO & COMPANY INC. A/C #1418-811-4	Filipino	6,939	00.00%
65	0000002777	L. RECIO & COMPANY INC.	Filipino	6,905	00.00%
66	0000001893	HENRY O. TEE JR.	Filipino	6,802	00.00%
67	0000001687	ANABEL TAN	Filipino	6,802	00.00%
68	0000000777	ROSITA KHO	Filipino	6,375	00.00%
69	0000001427	RICARDO CU-UNJIENG	Filipino	6,194	00.00%
70	0000000003	ARCADIO ABARABAR	Filipino	6,184	00.00%
71	0000001480	F. YAP SECURITIES INC.	Filipino	5,961	00.00%
72	0000001466	RICO W. ERMINO	Filipino	5,889	00.00%
73	0000001812	CHAN LAI MING	Filipino	5,710	00.00%
74	0000000914	LUMEN TIAOQUI	Filipino	5,610	00.00%
75	0000001664	ROBERT C. YAO FOUNDATION	Filipino	5,566	00.00%
76	0000002143	RAYMUNDO A. QUIROZ	Filipino	5,471	00.00%
77	0000002467	POTENCIANO LARRAZABAL JR.	Filipino	5,471	00.00%

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 As of 09/30/2017

Rank	Sth. No.	Name	Citizenship	Holdings	Rank
78	0000002186	EDGAR A. RAGASA &/OR DORIS R. VILLARUZ &/OR TEODORA A. RAGASA	Filipino	5,442	00.00%
79	0000002578	DELFIN L. GO	Filipino	5,263	00.00%
80	0000000711	EBC SECURITIES CORP.	Filipino	5,225	00.00%
81	0000000291	JAYME JALANDONI ADAMS & CO. INC.	Filipino	5,209	00.00%
82	0000001945	JUANITA R. ROCO	Filipino	5,203	00.00%
83	0000000827	MARIO NG	Filipino	5,163	00.00%
84	0000001852	SALLY KHO	Filipino	5,161	00.00%
85	0000001833	BETTY U. COO	Filipino	5,132	00.00%
86	0000002034	ALEXANDER TANTUCO	Filipino	5,106	00.00%
87	0000001720	GEORGE YANG	Filipino	5,102	00.00%
88	0000001483	REBECCA G. FERNANDO	Filipino	5,102	00.00%
89	0000000826	WILLIAM NG BON TIANG	Filipino	5,102	00.00%
90	0000001390	PYTHAGORAS L. BRION JR.	Filipino	5,100	00.00%
91	0000002504	JUAN YU &/OR GRACE YU	Filipino	5,100	00.00%
92	0000002476	JOSE MARI M. MIRANDA &/OR NOLA HAPPY O. MIRANDA	Filipino	4,992	00.00%
93	0000001583	LYBEN REALTY & DEV'T. CORP	Filipino	4,592	00.00%
94	0000000407	PETER ONG	Filipino	4,559	00.00%
95	0000001951	EDBERT GO TANTUCO	Filipino	4,396	00.00%
96	0000001965	BRISOT ECONOMIC DEV. CORP.	Filipino	4,377	00.00%
97	0000000738	ANTONIO K. GOCOLAY	Filipino	4,377	00.00%
98	0000002032	SEVERO A TUASON & CO. INC.	Filipino	4,365	00.00%
99	0000002497	UY VICTOR	Filipino	4,359	00.00%
100	0000001551	JAMES UY INCORPORATED	Filipino	4,286	00.00%

Stock Transfer Service Inc.
PHINMA PETROLEUM AND GEOTHERMAL, INC.
List of Top 100 Stockholders
As of 09/30/2017

Rank	Sth. No.	Name	Citizenship	Holdings	Rank
Total Top 100 Shareholders :				249,068,364	99.62%
Total Outstanding Shares				250,000,000	

BENEFICIAL OWNER REPORT

Company Code - PPG000000000 & Company Name - PHINMA PETROLEUM AND GEOTHERMAL INC.

Business Date: September 30, 2017

BPNAME	HOLDINGS
UPCC SECURITIES CORP.	18,205
A & A SECURITIES, INC.	788,973
ABACUS SECURITIES CORPORATION	5,981,108
PHILSTOCKS FINANCIAL INC	1,336,883
A. T. DE CASTRO SECURITIES CORP.	36,987
ALPHA SECURITIES CORP.	175,263
BA SECURITIES, INC.	25,670
AP SECURITIES INCORPORATED	997,421
ANSALDO, GODINEZ & CO., INC.	567,787
AB CAPITAL SECURITIES, INC.	194,733,539
SB EQUITIES, INC.	495,255
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	17,651
ASIASEC EQUITIES, INC.	91,335
ASTRA SECURITIES CORPORATION	437,356
ATC SECURITIES, INC.	1,166
BELSON SECURITIES, INC.	31,331
BENJAMIN CO CA & CO., INC.	75,577
B. H. CHUA SECURITIES CORPORATION	77,919
JAKA SECURITIES CORP.	18,170
BPI SECURITIES CORPORATION	3,134,624
CAMPOS, LANUZA & COMPANY, INC.	338,059
SINCERE SECURITIES CORPORATION	367,000
CENTURY SECURITIES CORPORATION	6,505
BDO NOMURA SECURITIES INC	6,034
CITISECURITIES, INC.	337,777
VSEC.COM, INC.	255
TRITON SECURITIES CORP.	208,860
PHILEO ALLIED SECURITIES (PHILIPPINES), INC.	501
IGC SECURITIES INC.	210,670
CUALOPING SECURITIES CORPORATION	12,070
DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	4,100
DAVID GO SECURITIES CORP.	314,799
DIVERSIFIED SECURITIES, INC.	379,333
E. CHUA CHIACO SECURITIES, INC.	526,022
EQUITABLE SECURIITES (PHILS.) INC.	482
EAST WEST CAPITAL CORPORATION	201
EASTERN SECURITIES DEVELOPMENT CORPORATION	960,722
EQUITIWORLD SECURITIES, INC.	141,429
EVERGREEN STOCK BROKERAGE & SEC., INC.	1,694,218
FIRST ORIENT SECURITIES, INC.	6,948
FIRST INTEGRATED CAPITAL SECURITIES, INC.	473,714
F. YAP SECURITIES, INC.	62,472
AURORA SECURITIES, INC.	37,475
GLOBALINKS SECURITIES & STOCKS, INC.	338,504
JSG SECURITIES, INC.	49,516
GOLDSTAR SECURITIES, INC.	14,773
GUILD SECURITIES, INC.	73,342

BPNAME	HOLDINGS
HDI SECURITIES, INC.	44,075
H. E. BENNETT SECURITIES, INC.	93,645
HK SECURITIES, INC.	17
I. ACKERMAN & CO., INC.	36,549
I. B. GIMENEZ SECURITIES, INC.	693,809
INVESTORS SECURITIES, INC,	227,908
IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.	252,841
INTRA-INVEST SECURITIES, INC.	72,448
ASIAN CAPITAL EQUITIES, INC.	4,852
J.M. BARCELON & CO., INC.	33,628
VALUE QUEST SECURITIES CORPORATION	28,100
STRATEGIC EQUITIES CORP.	81,722
LARRGO SECURITIES CO., INC.	1,295
LOPEZ, LOCSIN, LEDESMA & CO., INC.	48,873
LUCKY SECURITIES, INC.	141,679
LUYS SECURITIES COMPANY, INC.	636,207
MANDARIN SECURITIES CORPORATION	188,049
COL Financial Group, Inc.	6,253,742
DA MARKET SECURITIES, INC.	2,178,190
MERCANTILE SECURITIES CORP.	21,642
MERIDIAN SECURITIES, INC.	114,665
MDR SECURITIES, INC.	630,848
DEUTSCHE REGIS PARTNERS, INC.	5,457
MOUNT PEAK SECURITIES, INC.	18,936
NEW WORLD SECURITIES CO., INC.	169,662
NIEVES SECURITIES, INC.	33,502
OPTIMUM SECURITIES CORPORATION	94,820
RCBC SECURITIES, INC.	1,279,095
PAN ASIA SECURITIES CORP.	143,706
PAPA SECURITIES CORPORATION	241,021
MAYBANK ATR KIM ENG SECURITIES, INC.	966,928
PNB SECURITIES, INC.	82,569
PREMIUM SECURITIES, INC.	37,797
PRYCE SECURITIES, INC.	128
SALISBURY BKT SECURITIES CORPORATION	4,912
QUALITY INVESTMENTS & SECURITIES CORPORATION	914,082
R & L INVESTMENTS, INC.	51,041
ALAKOR SECURITIES CORPORATION	18,841
R. COYIUTO SECURITIES, INC.	1,040,056
REGINA CAPITAL DEVELOPMENT CORPORATION	309,741
R. NUBLA SECURITIES, INC.	560,089
AAA SOUTHEAST EQUITIES, INCORPORATED	13,458
R. S. LIM & CO., INC.	167,913
RTG & COMPANY, INC.	110,937
S.J. ROXAS & CO., INC.	841,471
SECURITIES SPECIALISTS, INC.	2,527
FIDELITY SECURITIES, INC.	19,694
SUMMIT SECURITIES, INC.	133,023
STANDARD SECURITIES CORPORATION	165,115
SUPREME STOCKBROKERS, INC	467
TANSENGCO & CO., INC.	888,017
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	20,106
TOWER SECURITIES, INC.	1,435,556

BPNAME	HOLDINGS
TRENDLINE SECURITIES CORPORATION	1,639
TRI-STATE SECURITIES, INC.	158,763
UCPB SECURITIES, INC.	1,542,298
E.SECURITIES, INC.	2,366
VENTURE SECURITIES, INC.	117,608
VICSAL SECURITIES & STOCK BROKERAGE, INC.	1,420
FIRST METRO SECURITIES BROKERAGE CORP.	1,630,501
WEALTH SECURITIES, INC.	1,548,052
WESTLINK GLOBAL EQUITIES, INC.	497,797
BERNAD SECURITIES, INC.	204,269
WONG SECURITIES CORPORATION	61,527
YAO & ZIALCITA, INC.	133,684
YU & COMPANY, INC.	262,897
BDO SECURITIES CORPORATION	966,795
PCCI SECURITIES BROKERS CORP.	387,660
EAGLE EQUITIES, INC.	45,510
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	29,842
SOLAR SECURITIES, INC.	257,585
G.D. TAN & COMPANY, INC.	181,188
DW CAPITAL INC.	1,293
PHILIPPINE EQUITY PARTNERS, INC.	28
UNICAPITAL SECURITIES INC.	177,000
SunSecurities, Inc.	15,892
ARMSTRONG SECURITIES, INC.	50,830
KING'S POWER SECURITIES, INC.	2,550
TIMSON SECURITIES, INC.	44,462
STAR ALLIANCE SECURITIES CORP.	171,000
CITIBANK N.A.	260,997
DEUTSCHE BANK MANILA-CLIENTS A/C	331
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	2,175
UCPB GENERAL INSURANCE CO., INC.	353,700
AB CAPITAL & INVESTMENT CORP. - TRUST & INVESTMENT DIV.	80,325
TRANS-ASIA OIL & MINERAL	3
TRANS-ASIA PETROLEUM CORPORATION	106
TOTAL	246,355,545

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.

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