

# COVER SHEET

0 9 4 - 0 0 8 8 1 1

S.E.C. Registration Number

P H I N M A P E T R O L E U M A N D  
 G E O T H E R M A L , I N C .  
 \_\_\_\_\_  
 \_\_\_\_\_

(Company's Full Name)

L E V E L 1 1 - P H I N M A P L A Z A 3 9 P L A Z A  
 D R I V E , R O C K W E L L C E N T E R M A K A T I

(Business Address: No. Street City/Town/Province)

C E C I L L E A R E N I L L O

Contact Person

8 7 0 0 3 8 8

Company Telephone Number

1 2    3 1  
 Month    Day  
 Calendar Year

P U B L I C  
 O W N E R S H I P  
 FORM TYPE

\_\_\_\_    \_\_\_\_  
 Month    Day  
 Annual Meeting

\_\_\_\_\_  
 Secondary License Type, If Applicable

C F D  
 Dept. Requiring this Doc.

\_\_\_\_\_  
 Amended Articles Number/Section

\_\_\_\_\_  
 Total No. of Stockholders

Total Amount of Borrowings

\_\_\_\_\_  
 Domestic

\_\_\_\_\_  
 Foreign

To be accomplished by SEC Personnel concerned

\_\_\_\_\_  
 File Number

\_\_\_\_\_  
 LCU

\_\_\_\_\_  
 Document I. D.

\_\_\_\_\_  
 Cashier

STAMPS

Remarks = Pls. Use black ink for scanning purposes



**TRANS-ASIA**  
PETROLEUM



July 13, 2017

**SECURITIES AND EXCHANGE COMMISSION**

Secretariat Building, PICC Complex  
Roxas Boulevard, Pasay City 1307

Attention: **HON. JUSTINA F. CALLANGAN**  
Director, Corporate Governance & Finance Department

**THE PHILIPPINE STOCK EXCHANGE, INC.**

3<sup>rd</sup> Floor, Philippine Stock Exchange Plaza  
Ayala Triangle, Ayala Avenue, Makati City

Attention: **MR. JOSE VALERIANO B. ZUÑO III**  
Head, Disclosure Department

Gentlemen:

Attached herewith is Phinma Petroleum and Geothermal, Inc.'s Public Ownership Report as of 30 June 2017.

Thank you.

Very truly yours,

  
**CECILLE B. ARENILLO**  
Compliance Officer

*TRANS-ASIA PETROLEUM CORPORATION*  
11/F PHINMA Plaza, 39 Plaza Drive, Rockwell Center, Makati  
City, Philippines 1200 Tel (632) 870-0100 Fax No (632) 870-  
0433 [www.transasia-petroleum.com](http://www.transasia-petroleum.com)



**PHINMA Petroleum and Geothermal, Inc.**  
**Public Ownership Report**

<b>Report Date</b>	June 30, 2017
<b>Computation of Public Ownership</b>	
<b>Number of Issued and Outstanding Common Shares</b>	250,000,000
<b>Less: Number of Treasury Common Shares, if any</b>	-
<b>Number of Outstanding Common Shares</b>	250,000,000

**A. Directors**

<b>Name</b>	<b>Direct</b>	<b>Indirect</b>	<b>Total direct &amp; indirect shares</b>	<b>% to Total Outstanding Shares</b>
Ramon R. Del Rosario, Jr.	424,155		424,155	0.17
Oscar J. Hilado	168,301		168,301	0.07
Magdaleno B. Albarracin, Jr.	53		53	0.00
Francisco L. Viray	214,959		214,959	0.09
Roberto M. Laviña	106,933		106,933	0.04
Raymundo A. Reyes, Jr.	32,230		32,230	0.01
Raphael Perpetuo M. Lotilla	1		1	0.00
Victor J. Del Rosario	92,320		92,320	0.04
Romeo L. Bernardo	1		1	0.00
Edward S. Go	1		1	0.00
Corazon S. de la Paz Bernardo	1		1	0.00
	<b>1,038,955</b>	<b>-</b>	<b>1,038,955</b>	<b>0.42</b>

**B. Officers**

<b>Name</b>	<b>Direct</b>	<b>Indirect</b>	<b>Total direct &amp; indirect shares</b>	<b>% to Total Outstanding Shares</b>
Benjamin S. Austria	30,000		30,000	0.01
Mariejo P. Bautista	11,047		11,047	0.01
Pythagoras L. Brion, Jr.	10,201		10,201	0.00
Troy A. Luna	-		-	0.00
Alan T. Ascalon	1,818		1,818	0.00
Cecille B. Arenillo	2,550		2,550	0.00
Giles R. Katigbak	-		-	0.00
Danielle R. Del Rosario-Del Pilar	2,000		2,000	0.00
Arthur R. Villacorte	858		858	0.00
Daneia Isabelle F. Palad	-		-	0.00
	<b>58,474</b>	<b>-</b>	<b>58,474</b>	<b>0.02</b>

**C. Principal/Substantial Stockholders**

<b>Name</b>	<b>Direct</b>	<b>Indirect</b>	<b>Total direct &amp; indirect shares</b>	<b>% to Total Outstanding Shares</b>
PHINMA Energy Corporation	126,838,677		126,838,677	50.74
Phil. Investment Management (PHINMA), Inc.	30,985,111		30,985,111	12.39
Phinma Corporation	32,481,317		32,481,317	12.99
	<b>190,305,105</b>	<b>-</b>	<b>190,305,105</b>	<b>76.12</b>

**D. Affiliates**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Emar Corporation	2,728,060		2,728,060	1.09
PHINMA Power Generation Corp.	1,001,042		1,001,042	0.40
Asian Plaza Inc.	91,108		91,108	0.04
	<b>3,820,210</b>	<b>-</b>	<b>3,820,210</b>	<b>1.53</b>

#### E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
------	--------	----------	--------------------------------	-------------------------------

#### F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
------	--------	----------	--------------------------------	-------------------------------

#### G. Employees

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Phinma Jumbo Retirement Fund	663		663	0.00
Incentive Compensation Program	6,991		6,991	0.00
	<b>7,654</b>	<b>-</b>	<b>7,654</b>	<b>0.00</b>

#### H. Lock-Up Shares

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
------	--------	----------	--------------------------------	-------------------------------

#### I. Others

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
------	--------	----------	--------------------------------	-------------------------------

Number of Listed Common Shares	250,000,000
Total Number of Non-Public Shares	195,230,398
Total Number of Shares Owned by the Public	54,769,602
Public Ownership Percentage	21.91

78.09
-------

#### Other Relevant Information

##### Notes:

1. The Indirect shares of Messrs. Ramon R. Del Rosario and Victor J. Del Rosario of 682,015 shares each are Direct shares of Emar Corporation.

682,015

##### Shares

2. PHINMA Energy indirect shares thru PHINMA Power Gen Corp 1,001,042  
3. Phinma Inc indirect shares thru Phinma Corp 11,683,530  
4. Phinma Inc. Indirect shares thru PHINMA Energy 31,760,405  
5. Phinma Corp indirect shares thru PHINMA Energy 33,282,469  
6. Phinma Corp indirect shares thru Asian Plaza 52,496